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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

12-28-01

PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket No. 00-682

First Inventor Michael Collins

Title COMPRESSOR PROTECTION MODULE AND SYSTEM  
AND METHOD INCORPORATING SAME

Express Mail Label No. EL304336786US

1073 U.S. PTO  
10/02/01  
10/19/01

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit in original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 22]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]

5. Oath or Declaration (3) [Total Pages 21]

a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

l. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper

c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable).

12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

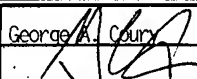
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

Name	George A. Coury				
	BACHMAN & LaPOINTE, P.C.				
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Name (Print/Type)	George A. Coury	Registration No. (Attorney/Agent)	34,309
Signature		Date	10/19/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$1032.00)

## Complete If Known

Application Number	
Filing Date	
First Named Inventor	Michael Collins
Examiner Name	
Group Art Unit	
Attorney Docket No.	00-682

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge add'l fees and credit any overpayments to: Deposit Account Number: 02-0184 Deposit Account Name: BACHMAN & LAPOINTE, P.C. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.18 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	
101 710 201 355 Utility filing fee 740		105 130 205 65 Surcharge - late filing fee or oath	
106 320 206 160 Design filing fee		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
107 490 207 245 Plant filing fee		139 130 139 130 Non-English specification	
108 710 208 355 Reissue filing fee		147 2,520 147 2,520 For filing a request for ex parte reexamination	
119 150 214 75 Provisional filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) (\$) 740		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
2. EXTRA CLAIM FEES		115 110 215 55 Extension for reply within first month	
Total Claims 34 -20** = 14 x 18 = 252		116 390 216 195 Extension for reply within second month	
Independent Claims 3 -3** = 0 x 0 = 0		117 890 217 445 Extension for reply within third month	
Multiple Dependent 0 = 0		118 1,390 218 695 Extension for reply within fourth month	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		128 1,890 228 945 Extension for reply within fifth month	
103 18 203 9 Claims in excess of 20		119 310 219 155 Notice of Appeal	
102 80 202 40 Independent claims in excess of 3		120 310 220 155 Filing a brief in support of an appeal	
104 270 204 135 Multiple dependent claim, if not paid		121 270 221 135 Request for oral hearing	
109 80 209 40 ** Reissue independent claims over original patent		138 1,510 138 1,510 Petition to institute a public use proceeding	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		140 110 240 55 Petition to revive - unavoidable	
SUBTOTAL (2) (\$) 252		141 1,240 241 620 Petition to revive - unintentional	
*or number previously paid, if greater; For Reissues, see above		142 1,240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 130 123 130 Petitions related to provisional applications	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties) 40	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		SUBTOTAL (3) (\$) 40	
		*Reduced by Basic Filing Fee Paid	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	George A. Courty	Registration No. (Attorney/Agent)	34,309
Signature		Telephone	203-777-6628
		Date	10/19/01

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner of Patent and Trademark Office, Washington, D.C. 20231  
October 19, 2001

on \_\_\_\_\_  
Janice T. Staton  
Name and Reg. No. \_\_\_\_\_  
  
10/19/01  
Date of Signature